

Item 1 – Cover Page

Thompson, Siegel & Walmsley LLC

Supplemental Information

Professional Biographies

September 30, 2018

This brochure supplement provides information about Thompson, Siegel & Walmsley LLC's ("TSW") supervised persons and supplements the TSW firm brochure. You should have received a copy of that brochure. Please contact TSW if you did not receive a copy of TSW's brochure or if you have any questions about the contents of this supplement.

Additional information about TSW supervised persons is available on the SEC's website at www.adviserinfo.sec.gov.

6641 West Broad Street, Suite 600
Richmond, Virginia 23230
Telephone: (804) 353-4500
Fax: (804) 353-0925
Contact: tswinfo@tswinvest.com
www.tswinvest.com

SEC File Number 801-6273

<p>Stelious Antourakis Portfolio Specialist</p>	<p>Item 2 – Educational Background and Business Experience:</p> <p>Item 3 – Disciplinary Information:</p> <p>Item 4 – Other Business Activities:</p> <p>Item 5 – Additional Compensation:</p> <p>Item 6 – Supervision:</p> <p>Joined TSW:</p> <p>Started in Industry:</p> <p>Year of Birth:</p>	<p><u>Educational Background:</u> BS – Virginia Commonwealth University</p> <p><u>Business Experience:</u> Capital Advisory Group, Intern</p> <p>N/A</p> <p>Series 6, 63 and 65 licensed. See Registered Representatives in Defined Terms section describing TSW’s relationship with broker-dealer Funds Distributor, LLC.</p> <p>Participates in Sales Incentive Program. See Sales Incentive Programs under Defined Terms section.</p> <p>Supervision of this supervised person’s advisory activities is provided by their primary supervisor, the TSW Branch Principal, and TSW’s Chief Compliance Officer (“CCO”) who monitor the advice provided to clients through methods such as (but not limited to): supervisory oversight; administration of policies and procedures; compliance training; email review; and advertising review. CCO: W. Winborne Boyles Phone Number: 804.353.4500</p> <p>2007</p> <p>2007</p> <p>1985</p>
<p>William Murray Bellamy, CFA* Director of Income Strategies; Portfolio Manager</p>	<p>Item 2 – Educational Background and Business Experience:</p> <p>Item 3 – Disciplinary Information:</p> <p>Item 4 – Other Business Activities:</p> <p>Item 5 – Additional Compensation:</p> <p>Item 6 – Supervision:</p>	<p><u>Educational Background:</u> BS – Cornell University MBA – Duke University Chartered Financial Analyst (CFA)*</p> <p><u>Business Experience:</u> Merrill Lynch & Co., AVP; Clayton Brown & Associates, VP; First Union Corporation, VP; Trusco Capital Management, Inc., VP</p> <p>N/A</p> <p>Series 65 licensed.</p> <p>N/A</p> <p>Supervision of this supervised person’s advisory activities is provided by their primary supervisor, and TSW’s Chief Compliance Officer (“CCO”) who monitor the advice provided to clients through methods such as (but not limited to): supervisory</p>

	<p>Joined TSW:</p> <p>Started in Industry:</p> <p>Year of Birth:</p>	<p>oversight; administration of policies and procedures; compliance training; email review; and advertising review. CCO: W. Winborne Boyles Phone Number: 804.353.4500 2002 1987 1965</p>
<p>Stuart Preston Dillard, CAIA* Portfolio Manager– Strategic Advisory Group</p>	<p>Item 2 – Educational Background and Business Experience:</p> <p>Item 3 – Disciplinary Information:</p> <p>Item 4 – Other Business Activities:</p> <p>Item 5 – Additional Compensation:</p> <p>Item 6 – Supervision:</p> <p>Joined TSW:</p> <p>Started in Industry:</p> <p>Year of Birth:</p>	<p><u>Educational Background:</u> BA – University of Virginia Chartered Alternative Investment Analyst (CAIA)*</p> <p><u>Business Experience:</u> Interior Solutions, VP; Anderson & Strudwick Inc., Investment Advisor/Broker; Private Bank of Bank of America, VP, Portfolio Manager N/A</p> <p>Series 7, 63 and 65 licensed. See Registered Representatives in Defined Terms section describing TSW’s relationship with broker-dealer Funds Distributor, LLC.</p> <p>Participates in Sales Incentive Program. See Sales Incentive Programs under Defined Terms section.</p> <p>Supervision of this supervised person’s advisory activities is provided by their primary supervisor, the TSW Branch Principal, and TSW’s Chief Compliance Officer (“CCO”) who monitor the advice provided to clients through methods such as (but not limited to): supervisory oversight; administration of policies and procedures; compliance training; email review; and advertising review. CCO: W. Winborne Boyles Phone Number: 804.353.4500 2005 1999 1973</p>
<p>Gregory Gray Garland, CFA* Portfolio Manager– Strategic Advisory Group</p>	<p>Item 2 – Educational Background and Business Experience:</p>	<p><u>Educational Background:</u> BA –University of Virginia Chartered Financial Analyst (CFA)*</p> <p><u>Business Experience:</u> Sovran Bank, N.A., International Banking Officer;</p>

	<p>Item 3 – Disciplinary Information:</p> <p>Item 4 – Other Business Activities:</p> <p>Item 5 – Additional Compensation:</p> <p>Item 6 – Supervision:</p> <p>Joined TSW:</p> <p>Started in Industry:</p> <p>Year of Birth:</p>	<p>Riggs National Bank of Washington, D.C., AVP; Freddie Mac, Senior Financial Analyst; Alex Sheshunoff & Company Investment Banking, Associate Director; Bank of America, VP and Portfolio Manager; SunTrust Bank, VP and Senior Portfolio Manager</p> <p>N/A</p> <p>Series 7, 63 and 65 licensed. See Registered Representatives in Defined Terms section describing TSW’s relationship with broker-dealer, Funds Distributor, LLC.</p> <p>N/A</p> <p>Supervision of this supervised person’s advisory activities is provided by their primary supervisor, the TSW Branch Principal, and TSW’s Chief Compliance Officer (“CCO”) who monitor the advice provided to clients through methods such as (but not limited to): supervisory oversight; administration of policies and procedures; compliance training; email review; and advertising review. CCO: W. Winborne Boyles Phone Number: 804.353.4500</p> <p>2008</p> <p>1983</p> <p>1961</p>
<p>Brandon Hayes Harrell, CFA* Portfolio Manager</p>	<p>Item 2 – Educational Background and Business Experience:</p> <p>Item 3 – Disciplinary Information:</p> <p>Item 4 – Other Business Activities:</p>	<p><u>Educational Background:</u> BA –Wake Forest University MBA – George Mason University Chartered Financial Analyst (CFA)*</p> <p><u>Business Experience:</u> Central Intelligence Agency, Washington, DC, Intelligence Officer; Growth Stock Outlook, Inc., Securities Analyst; Capitoline Investment Services, Portfolio Manager</p> <p>N/A</p> <p>Series 65 licensed.</p>

	<p>Item 5 – Additional Compensation: N/A</p> <p>Item 6 – Supervision: Supervision of this supervised person’s advisory activities is provided by their primary supervisor, and TSW’s Chief Compliance Officer (“CCO”) who monitor the advice provided to clients through methods such as (but not limited to): supervisory oversight; administration of policies and procedures; compliance training; email review; and advertising review. CCO: W. Winborne Boyles Phone Number: 804.353.4500</p>
	<p>Joined TSW: 1996</p> <p>Started in Industry: 1987</p> <p>Year of Birth: 1960</p>
<p>Brett Phelan Hawkins, CFA* Chief Investment Officer; Portfolio Manager</p>	<p>Item 2 – Educational Background and Business Experience: <u>Educational Background:</u> BA –University of Richmond MBA –University of Virginia, Darden School Chartered Financial Analyst (CFA)*</p> <p><u>Business Experience:</u> Arthur Andersen LLP, Senior Associate; First Union Corporation, AVP, Equity Research</p> <p>Item 3 – Disciplinary Information: N/A</p> <p>Item 4 – Other Business Activities: Series 65 licensed</p> <p>Item 5 – Additional Compensation: N/A</p> <p>Item 6 – Supervision: Supervision of this supervised person’s advisory activities is provided by their primary supervisor, and TSW’s Chief Compliance Officer (“CCO”) who monitor the advice provided to clients through methods such as (but not limited to): supervisory oversight; administration of policies and procedures; compliance training; email review; and advertising review. CCO: W. Winborne Boyles Phone Number: 804.353.4500</p> <p>Joined TSW: 2001</p> <p>Started in Industry: 1993</p> <p>Year of Birth: 1971</p>

<p>John Shelton Horsley, IV, CFA* Senior Client Portfolio Manager</p>	<p>Item 2 – Educational Background and Business Experience:</p> <p>Item 3 – Disciplinary Information:</p> <p>Item 4 – Other Business Activities:</p>	<p><u>Educational Background:</u> BA – University of Virginia MBA – University of Virginia Chartered Financial Analyst (CFA)*</p> <p><u>Business Experience:</u> Aetna Life & Casualty, Employee Benefits Representative; Bolton Offutt Donovan, Inc., Consultant</p> <p>N/A</p> <p>Series 65 licensed</p>
	<p>Item 5 – Additional Compensation:</p> <p>Item 6 – Supervision:</p> <p>Joined TSW:</p> <p>Started in Industry:</p> <p>Year of Birth:</p>	<p>N/A</p> <p>Supervision of this supervised person’s advisory activities is provided by their primary supervisor, and TSW’s Chief Compliance Officer (“CCO”) who monitor the advice provided to clients through methods such as (but not limited to): supervisory oversight; administration of policies and procedures; compliance training; email review; and advertising review. CCO: W. Winborne Boyles Phone Number: 804.353.4500</p> <p>1994</p> <p>1986</p> <p>1962</p>
<p>Sarah Gray Innes, CFA* Business Development Director</p>	<p>Item 2 – Educational Background and Business Experience:</p> <p>Item 3 – Disciplinary Information:</p> <p>Item 4 – Other Business Activities:</p>	<p><u>Educational Background:</u> BS – University of Virginia Chartered Financial Analyst (CFA)*</p> <p><u>Business Experience:</u> Cary Street Partners, Intern Private Advisors LLC, Intern Prometheus Partners LLC, Analyst Ivy Ventures LLC, Manager</p> <p>N/A</p> <p>Series 7 & 66 licensed. See Registered Representatives in Defined Terms section describing TSW’s relationship with broker-dealer Funds Distributor, LLC.</p>

	<p>Item 5 – Additional Compensation:</p> <p>Item 6 – Supervision:</p> <p>Joined TSW:</p> <p>Started in Industry:</p> <p>Year of Birth:</p>	<p>Participates in Sales Incentive Program. See Sales Incentive Programs under Defined Terms section.</p> <p>Supervision of this supervised person’s advisory activities is provided by their primary supervisor, the TSW Branch Principal, and TSW’s Chief Compliance Officer (“CCO”) who monitor the advice provided to clients through methods such as (but not limited to): supervisory oversight; administration of policies and procedures; compliance training; email review; and advertising review. CCO: W. Winborne Boyles Phone Number: 804.353.4500</p> <p>2015</p> <p>2009</p> <p>1987</p>
<p>Tracey Holgren Ivey, CFA* Director of Consultant Relations</p>	<p>Item 2 – Educational Background and Business Experience:</p> <p>Item 3 – Disciplinary Information:</p> <p>Item 4 – Other Business Activities:</p> <p>Item 5 – Additional Compensation:</p>	<p><u>Educational Background:</u> BS – University of Richmond MBA – University of Pennsylvania, The Wharton School, Chartered Financial Analyst (CFA)*</p> <p><u>Business Experience:</u> Miller, Anderson & Sherrerd LLP, Head of Consultant Relations; Morgan Stanley Investment Management, Managing Director, Consultant Relations</p> <p>N/A</p> <p>Series 6, 7, 63 and 65 licensed. See Registered Representatives in Defined Terms section describing TSW’s relationship with broker-dealer, Funds Distributor, LLC.</p> <p>Participates in Sales Incentive Program. See Sales Incentive Programs under Defined Terms section.</p>

	<p>Item 6 – Supervision:</p> <p>Joined TSW:</p> <p>Started in Industry:</p> <p>Year of Birth:</p>	<p>Supervision of this supervised person’s advisory activities is provided by their primary supervisor, the TSW Branch Principal, and TSW’s Chief Compliance Officer (“CCO”) who monitor the advice provided to clients through methods such as (but not limited to): supervisory oversight; administration of policies and procedures; compliance training; email review; and advertising review. CCO: W. Winborne Boyles Phone Number: 804.353.4500</p> <p>2008</p> <p>1983</p> <p>1960</p>
<p>Jerry Walton Jenkins Director of Wealth Management</p>	<p>Item 2 – Educational Background and Business Experience:</p> <p>Item 3 – Disciplinary Information:</p> <p>Item 4 – Other Business Activities:</p> <p>Item 5 – Additional Compensation:</p> <p>Item 6 – Supervision:</p> <p>Joined TSW:</p> <p>Started in Industry:</p> <p>Year of Birth:</p>	<p><u>Educational Background:</u> BA – Hampden-Sydney College National Graduate Trust School, Northwestern University</p> <p><u>Business Experience:</u> The Executive Program, University of Virginia; NationsBank Corporation, Personal & institutional Trust Manager</p> <p>N/A</p> <p>Series 65 licensed</p> <p>N/A</p> <p>Supervision of this supervised person’s advisory activities is provided by their primary supervisor, and TSW’s Chief Compliance Officer (“CCO”) who monitor the advice provided to clients through methods such as (but not limited to): supervisory oversight; administration of policies and procedures; compliance training; email review; and advertising review. CCO: W. Winborne Boyles Phone Number: 804.353.4500</p> <p>1993</p> <p>1967</p> <p>1944</p>

<p>T. Broderick Mullins Portfolio Manager- Strategic Advisory Group</p>	<p>Item 2 – Educational Background and Business Experience:</p> <p>Item 3 – Disciplinary Information:</p> <p>Item 4 – Other Business Activities:</p>	<p><u>Educational Background:</u> BA – Hampden Sydney College</p> <p><u>Business Experience:</u> Bank of America, Assistant Vice President, Client Manager, Portfolio Analyst SunTrust Bank, Assistant Vice President, Client Advisor Davenport & Company LLC, First Vice President, Portfolio Manager, Portfolio Services Administrator</p> <p>N/A</p> <p>Series 7, 65 and 66 licensed. See Registered Representatives in Defined Terms Section describing TSW’s relationship with broker-dealer, Funds Distributor, LLC.</p>
	<p>Item 5 – Additional Compensation:</p> <p>Item 6 – Supervision:</p> <p>Joined TSW:</p> <p>Started in Industry:</p> <p>Year of Birth:</p>	<p>Participates in Sales Incentive Program. See Sales Incentive Programs under Defined Terms section.</p> <p>Supervision of this supervised person’s advisory activities is provided by their primary supervisor, the TSW Branch Principal, and TSW’s Chief Compliance Officer (“CCO”) who monitor the advice provided to clients through methods such as (but not limited to): supervisory oversight; administration of policies and procedures; compliance training; email review; and advertising review. CCO: W. Winborne Boyles Phone Number: 804.353.4500</p> <p>2016</p> <p>2002</p> <p>1978</p>
<p>Tracy LaDawn Musser Senior Institutional Relationship Manager</p>	<p>Item 2 – Educational Background and Business Experience:</p> <p>Item 3 – Disciplinary Information:</p>	<p><u>Educational Background:</u> BS – Pennsylvania State University MBA – St. Joseph’s University</p> <p><u>Business Experience:</u> PLANCO LLC, Marketing Coordinator; Pilgrim, Baxter & Associates, Ltd., VP</p> <p>N/A</p>

	<p>Item 4 – Other Business Activities:</p> <p>Item 5 – Additional Compensation:</p> <p>Item 6 – Supervision:</p>	<p>Series 7, 63 and 65 licensed. See Registered Representatives in Defined Terms section describing TSW’s relationship with broker-dealer Funds Distributor, LLC.</p> <p>Participates in Sales Incentive Program. See Sales Incentive Programs under Defined Terms section.</p> <p>Supervision of this supervised person’s advisory activities is provided by their primary supervisor, the TSW Branch Principal, and TSW’s Chief Compliance Officer (“CCO”) who monitor the advice provided to clients through methods such as (but not limited to): supervisory oversight; administration of policies and procedures; compliance training; email review; and advertising review.</p>
	<p>Joined TSW:</p> <p>Started in Industry:</p> <p>Year of Birth:</p>	<p>CCO: W. Winborne Boyles Phone Number: 804.353.4500 2004</p> <p>1996</p> <p>1968</p>
<p>Stedman Davis Oakey, CFA* Analyst; Portfolio Manager</p>	<p>Item 2 – Educational Background and Business Experience:</p> <p>Item 3 – Disciplinary Information:</p> <p>Item 4 – Other Business Activities:</p> <p>Item 5 – Additional Compensation:</p> <p>Item 6 – Supervision:</p>	<p><u>Educational Background:</u> BA –University of Notre Dame Chartered Financial Analyst (CFA)*</p> <p><u>Business Experience:</u> AIG Global Investment Group, Financial Analyst; AIG Private Equity Ltd., Zurich, Switzerland, Financial Analyst</p> <p>N/A</p> <p>Series 65 licensed</p> <p>N/A</p> <p>Supervision of this supervised person’s advisory activities is provided by their primary supervisor, and TSW’s Chief Compliance Officer (“CCO”) who monitor the advice provided to clients through methods such as (but not limited to): supervisory oversight; administration of policies and procedures; compliance training; email review; and advertising review.</p>

	<p>Joined TSW: 2005</p> <p>Started in Industry: 2000</p> <p>Year of Birth: 1977</p>	<p>CCO: W. Winborne Boyles Phone Number: 804.353.4500</p>
<p>Roger Worthington Porter Portfolio Manager</p>	<p>Item 2 – Educational Background and Business Experience:</p> <p>Item 3 – Disciplinary Information:</p> <p>Item 4 – Other Business Activities:</p> <p>Item 5 – Additional Compensation:</p> <p>Item 6 – Supervision:</p> <p>Joined TSW:</p> <p>Started in Industry:</p> <p>Year of Birth:</p>	<p><u>Educational Background:</u> BS – University of Richmond MBA – University of Richmond</p> <p><u>Business Experience:</u> Branch Cabell and Company, Associate Vice President Institutional Research and Sales; Atlantic Capital Management, Managing Director</p> <p>N/A</p> <p>N/A</p> <p>N/A</p> <p>Supervision of this supervised person’s advisory activities is provided by their primary supervisor, and TSW’s Chief Compliance Officer (“CCO”) who monitor the advice provided to clients through methods such as (but not limited to): supervisory oversight; administration of policies and procedures; compliance training; email review; and advertising review. CCO: W. Winborne Boyles Phone Number: 804.353.4500</p> <p>2008</p> <p>1994</p> <p>1972</p>

	<p>Item 3 – Disciplinary Information:</p> <p>Item 4 – Other Business Activities:</p> <p>Item 5 - Additional Compensation:</p> <p>Item 6 – Supervision:</p> <p>Joined TSW:</p> <p>Started in Industry:</p> <p>Year of Birth:</p>	<p>N/A</p> <p>Series 7, 63 and 65 licensed. See Registered Representatives in Defined Terms section describing TSW’s relationship with broker-dealer Funds Distributor, LLC.</p> <p>Participates in Sales Incentive Program. See Sales Incentive Programs under Defined Terms section.</p> <p>Supervision of this supervised person’s advisory activities is provided by their primary supervisor, the TSW Branch Principal, and TSW’s Chief Compliance Officer (“CCO”) who monitor the advice provided to clients through methods such as (but not limited to): supervisory oversight; administration of policies and procedures; compliance training; email review; and advertising review. CCO: W. Winborne Boyles Phone Number: 804.353.4500 2005</p> <p>1990</p> <p>1964</p>
<p>William Patrick Schubmehl, Jr. Portfolio Manager</p>	<p>Item 2 – Educational Background and Business Experience:</p> <p>Item 3 – Disciplinary Information:</p> <p>Item 4 – Other Business Activities:</p> <p>Item 5 – Additional Compensation:</p>	<p><u>Educational Background:</u> BA – University of Virginia MBA – University of Virginia</p> <p><u>Business Experience:</u> Spear, Leeds & Kellogg Specialists LLC, Option Specialist on the AMEX; First Union Corporation, Associate; WPS Capital Management, President</p> <p>N/A</p> <p>Series 65 licensed</p> <p>Participates in Sales Incentive Program consisting of participation in the profitability of all WPS strategies through his participation in WPS Capital Management, LLC. See Sales Incentive Programs under Defined Terms section.</p>

	<p>Item 6 – Supervision:</p> <p>Joined TSW:</p> <p>Started in Industry:</p> <p>Year of Birth:</p>	<p>Supervision of this supervised person’s advisory activities is provided by their primary supervisor, and TSW’s Chief Compliance Officer (“CCO”) who monitor the advice provided to clients through methods such as (but not limited to): supervisory oversight; administration of policies and procedures; compliance training; email review; and advertising review. CCO: W. Winborne Boyles Phone Number: 804.353.4500</p> <p>2006</p> <p>1994</p> <p>1971</p>
<p>Matthew S. Soule, CFA* Client Portfolio Manager</p>	<p>Item 2 – Educational Background and Business Experience:</p> <p>Item 3 – Disciplinary Information:</p> <p>Item 4 – Other Business Activities:</p> <p>Item 5 – Additional Compensation:</p> <p>Item 6 – Supervision:</p>	<p><u>Educational Background:</u> The George Washington University, School of Business, BBA Boston College, Wallace E. Carroll Graduate School of Management, MBA Chartered Financial Analyst (CFA)*</p> <p><u>Business Experience:</u> Bank of New York Asset Management - Business Development/Fund Analyst Schroder Investment Management - Portfolio Manager Assistant/Jr. Quant Analyst PricewaterhouseCoopers - Asset Management Consultant Nationwide Funds - Head of U.S. Equities and Fixed Income - Manager Research</p> <p>N/A</p> <p>Series 65 licensed</p> <p>N/A</p> <p>Supervision of this supervised person’s advisory activities is provided by their primary supervisor, and TSW’s Chief Compliance Officer (“CCO”) who monitor the advice provided to clients through methods such as (but not limited to): supervisory oversight; administration of policies and procedures; compliance training; email review; and advertising review. CCO: W. Winborne Boyles Phone Number: 804.353.4500</p>

	<p>Joined TSW:</p> <p>Started in Industry:</p> <p>Year of Birth:</p>	<p>2016</p> <p>2006</p> <p>1982</p>
<p>Michael K. Swinney, CFA* Director of Consultant Relations</p>	<p>Item 2 – Educational Background and Business Experience:</p> <p>Item 3 – Disciplinary Information:</p> <p>Item 4 – Other Business Activities:</p> <p>Item 5 – Additional Compensation:</p> <p>Item 6 – Supervision:</p> <p>Joined TSW:</p> <p>Started in Industry:</p> <p>Year of Birth:</p>	<p><u>Educational Background:</u> Life University, BS University of Cape Town, BCom Chartered Financial Analyst (CFA)*</p> <p><u>Business Experience:</u> Federal Home Loan Bank of Atlanta – Software Quality Assurance Aon Hewitt – Investment Consultant Callan Associates – Senior Investment Consultant</p> <p>N/A</p> <p>Series 7, 63 and 65 licensed. See Registered Representatives in Defined Terms section describing TSW’s relationship with broker-dealer Funds Distributor, LLC.</p> <p>Participates in Sales Incentive Program. See Sales Incentive Programs under Defined Terms section.</p> <p>Supervision of this supervised person’s advisory activities is provided by their primary supervisor, the TSW Branch Principal, and TSW’s Chief Compliance Officer (“CCO”) who monitor the advice provided to clients through methods such as (but not limited to): supervisory oversight; administration of policies and procedures; compliance training; email review; and advertising review. CCO: W. Winborne Boyles Phone Number: 804.353.4500</p> <p>2016</p> <p>2003</p> <p>1976</p>
<p>Elizabeth Schaeffer Ware Portfolio Manager- Strategic Advisory Group</p>	<p>Item 2 – Educational Background and Business Experience:</p>	<p><u>Educational Background:</u> BA – Vanderbilt University MBA – University of Richmond</p>

	<p>Item 3 – Disciplinary Information:</p> <p>Item 4 – Other Business Activities:</p> <p>Item 5 – Additional Compensation:</p> <p>Item 6 – Supervision:</p> <p>Joined TSW:</p> <p>Started in Industry:</p> <p>Year of Birth:</p>	<p><u>Business Experience:</u> Genworth Financial – Project Manager/Risk Analyst SunTrust Bank – Treasury Trader/Assistant Vice President Wachovia Bank – Federal Funds Portfolio Manager/Assistant Vice President</p> <p>N/A</p> <p>Series 7, 63 and 65 licensed. See Registered Representatives in Defined Terms Section describing TSW’s relationship with broker-dealer Funds Distributor, LLC</p> <p>N/A</p> <p>Supervision of this supervised person’s advisory activities is provided by their primary supervisor, the TSW Branch Principal, and TSW’s Chief Compliance Officer (“CCO”) who monitor the advice provided to clients through methods such as (but not limited to): supervisory oversight; administration of policies and procedures; compliance training; email review; and advertising review. CCO: W. Winborne Boyles Phone Number: 804.353.4500</p> <p>2010</p> <p>1984</p> <p>1962</p>
<p>Horace Pritchard Whitworth, CFA* Chief Financial Officer</p>	<p>Item 2 – Educational Background and Business Experience:</p> <p>Item 3 – Disciplinary Information:</p> <p>Item 4 – Other Business Activities:</p> <p>Item 5 – Additional Compensation:</p>	<p><u>Educational Background:</u> BS –University of Virginia Chartered Financial Analyst (CFA)*</p> <p><u>Business Experience:</u> Coopers & Lybrand, Auditor; Wachovia Corporation, Portfolio Manager</p> <p>N/A</p> <p>Series 65 licensed</p> <p>N/A</p>

Defined Terms: Affiliations and Professional Designations

*Member of TSW's Investment Policy Committee ("IPC"): The IPC has responsibility for: oversight of all investment strategies and teams including WPS Capital Fund, LLC; setting the asset allocation for equity and balanced accounts; reviewing the research group's commission budget including soft dollars; reviewing trading operations and input from the Trade Management Oversight Committee (TMOC) on best execution; and reviewing portfolio risk and all strategies' performance and attribution vs. benchmarks.

Several TSW investment professionals are Chartered Financial Analysts, Chartered Alternative Investment Analysts, or Registered Representatives; and some are eligible for Sales Incentive or Economic Incentive Programs.

**Chartered Financial Analyst ("CFA"): The Chartered Financial Analyst designation has become the most respected and recognized investment credential in the world. To earn a CFA charter, one must have four years of qualified investment work experience, become a member of the CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program. The CFA program is organized into three levels, each culminating in a six-hour exam. Completing the program takes most candidates between two and five years.

***Chartered Alternative Investment Analyst ("CAIA"): The Chartered Alternative Investment Analyst (CAIA) is a professional designation offered by the CAIA Association. The CAIA curriculum is designed to provide finance professionals with a broad base of knowledge in alternative investments. To earn a CAIA designation, one must meet one of the following requirements: Bachelor's or equivalent degree and more than one year of business experience in the financial industry, or four years of experience in the financial industry. The CAIA is a self-study certification program that requires the successful completion of both the Level I and Level II examinations. CAIA designees are required to maintain membership in the CAIA Association and adhere to professional and ethical standards.

Registered Representatives: TSW has registered representatives who are licensed with Funds Distributor, LLC which is a third party (unaffiliated with TSW) FINRA registered broker-dealer of the Foreside Financial Group. Funds Distributor is located at 3 Canal Plaza, Suite 100, Portland, ME 04101. Funds Distributor holds the securities licenses for several of TSW's associates, serving as a limited capacity broker-dealer to investment companies and LLC's. TSW does not direct any trades through Funds Distributor. For more information on Funds Distributor/Foreside Financial Group, please visit their website at: www.foreside.com.

Economic Incentive Programs: Several TSW employees are participants in economic incentive programs. Such programs may provide for participation in the revenue stream of certain strategies within TSW.

Sales Incentive Programs: Several TSW employees are participants in sales incentive programs. Such programs may provide for trailing incentive payments from specific account revenues which decrease each year for multiple years until exhausted.